Erasmus+ Capacity Building in the field of Higher Education

Ms. Piia Heinämäki

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Presentation overview

- General Overview of the programme
- The consortium and the financing rules
- The application and assessment steps
PART I - General Overview of the programme
Part I: Definition

Capacity-Building Projects

- Transnational cooperation projects
- Based on multilateral partnerships
- Primarily between higher education institutions (HEIs)
- From Programme and eligible Partner Countries
<table>
<thead>
<tr>
<th>33 Programme Countries</th>
<th>Partner Countries</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>EU Member States:</strong></td>
<td><strong>Ex-Tempus countries</strong></td>
</tr>
<tr>
<td>Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, United Kingdom</td>
<td>Western Balkans</td>
</tr>
<tr>
<td><strong>Other programme countries:</strong></td>
<td>Eastern Partnership countries</td>
</tr>
<tr>
<td>Iceland, Liechtenstein, Norway, former Yugoslav Republic of Macedonia, Turkey</td>
<td>South-Mediterranean countries</td>
</tr>
<tr>
<td></td>
<td>Russia</td>
</tr>
<tr>
<td></td>
<td>Central Asia</td>
</tr>
<tr>
<td></td>
<td><strong>Other partner countries</strong></td>
</tr>
<tr>
<td></td>
<td>South Africa</td>
</tr>
<tr>
<td></td>
<td>Africa Caribbean Pacific (ACP)</td>
</tr>
<tr>
<td></td>
<td>Latin America</td>
</tr>
<tr>
<td></td>
<td>Iran, Iraq, Yemen</td>
</tr>
<tr>
<td></td>
<td>Asia</td>
</tr>
</tbody>
</table>

Contribute financially to ERASMUS+ | Target beneficiaries |
Part I: Background

A single integrated programme

Erasmus+

1. Learning Mobility
2. Co-operation Projects
3. Policy Support

Specific activities:
- Jean Monnet
- Sport

CBHE
Part I: How? – Types of Projects

Joint Projects
- Curriculum development
- University governance & management
- Links between HE institutions and the wider economic and social environment

Impact on Institutions

Structural Projects
- Modernisation of policies, governance and management of higher education systems
- Links between HE systems and the wider economic and social environment

Impact on Systems

Erasmus+
Part I: Who can Participate? Eligible Applicants

State-recognised public or private Higher Education Institutions

Associations/ Organizations of Higher Education Institutions

Only for Structural Projects: recognized national or international rector, teacher or student organisations.

Applications can be submitted by organisations located either in Programme or Partner countries

Exceptions: Libya, Russia, Syria
### Part I: Who can Participate?  **Eligible Partners**

#### As Full Partners:
- State-recognised public or private HEIs
- Any public or private organisation active in the labour market or in the fields of education, training and youth (e.g. enterprise, NGO) etc.
- Associations or organisations of HEIs with main focus on HE

Each participating organisation can be located either in a Programme or in an eligible Partner country.

#### As Associated Partners:
- International governmental organisation (self-financing basis)
Part I: Who can participate? - Associated Partners

- Contribute indirectly

- “Associated partners” are not considered as part of the consortium and therefore cannot benefit from any financial support from the project

- Ex: non-academic partners providing placement opportunities
Part II – The Consortium and the financing rules
Part II: Consortium Structure

### National Projects

- 1 Partner Country only + + Min. 3 Programme Countries

- Min. 1 HEI from each Programme Country
- Min. 3 HEI from the Partner Country

### Multi-Country projects

- Min. 2 Partner Countries + Min. 3 Programme Countries

- Min. 1 HEI from each Programme Country
- Min. 2 HEI from each Partner Country

**Structural Projects:**
- Partner Country Ministries for HE must participate

**At least as many Partner Country HEIs as Programme Country HEIs**
Part II: Priorities & Types of projects

**National** Priorities defined by the Ministries of Education in close consultation with the EU Delegations

**Regional** priorities defined by the Commission and based on EU external policy priorities

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**National Projects**

National projects must address:

- **National Priorities** set for Partner Country in Regions 1, 2, 3, 7, 10
- **Regional Priorities** for the regions where no national priorities are established: Regions 6, 8, 9, 11

**Multi-Country Projects**

Multi-country projects must address:

- **Regional priorities** for countries in the same region (regional projects)
  or
- **Regional / national priorities common** to different regions (cross-regional projects)
Part II: Regional Priorities – Central Asia (1/2)

| Category A: Curriculum development projects | • Teacher training & education science  
• Social & behavioural science  
• Business administration  
• Law  
• Physical sciences  
• Agriculture, forestry & fishery  
• Health  
• Environmental protection  
• Security services |
| Category B: Improving quality of education & teaching | • Bologna process type reforms  
• Learning & teaching tools, Methodologies & pedagogical approaches |
# Part II: Regional Priorities – Central Asia (2/2)

| Category C: Improving management & operation of HEIs | • Governance, strategic planning & management of HEIs  
  (incl. HR & financial management)  
  • Internationalisation of HEIs  
  (incl. recognition mechanisms & mobility)  
  • Access to & democratisation of HE  
  (incl. disadvantaged groups of people) |
| --- | --- |
| Category D: Developing the HE sector within society at large | • Development of school and vocational education at post-secondary non-tertiary education level  
  • University-enterprise cooperation, entrepreneurship & employability of graduates  
  • International cooperation at regional/cross-regional level |
Part II: Budget and Duration - Overview

- **Duration**: 24 or 36 Months
- **Min. Actual Costs**: 500,000 Euros
- **Max. Actual Costs**: 1,000,000 Euros
- **Excluding mobility strand**
- **5 Budget Headings**

Excluding mobility strand
## Erasmus+ Budget Categories

### Part II: Budget Categories

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Staff costs</strong> (max 40%)</td>
<td><strong>4 Staff Categories</strong> (Manager, Researcher/Teacher/Trainer, Technician, Administrator)</td>
</tr>
<tr>
<td><strong>Travel costs</strong></td>
<td><strong>Students/staff from partners</strong> in countries involved in the project from their place of origin to the venue of the activity and return.</td>
</tr>
<tr>
<td><strong>Costs of stay</strong></td>
<td>Subsistence, accommodation, local and public transport, personal or optional health insurance.</td>
</tr>
<tr>
<td><strong>Equipment</strong> (max 30%)</td>
<td>Purchased <strong>exclusively</strong> for the benefit of HEIs in the Partner Countries</td>
</tr>
<tr>
<td><strong>Sub-contracting</strong> (max 10%)</td>
<td>Exceptional for services related to competences that can't be found in the consortia</td>
</tr>
</tbody>
</table>
Part II: How to calculate the budget - Methods

5 Budget Categories

- Staff: UC
- Travel: UC
- Costs of Stay: UC
- Equipment: RC
- Subcontracting: RC

2 Allocation & Justification Methods

- Real Costs (RC)
- Unit Costs (UC)

Other types of costs are not considered for the calculation of the grant. Expected to be covered by co-funding.
Part II: Actual costs vs. Unit Costs

- **Real costs**: How did you **use** the grant?
  => Expenses incurred, supporting documents

- **Unit costs**: What did you **achieve** with the grant?
  => **Result**-based
  => No need to prove the actual expenditure but you need to show the "triggering event" (i.e.: the fact the activity was indeed properly implemented (e.g. teaching, training))
Part II: Calculation of the Travel/Cost of Stay budget

<table>
<thead>
<tr>
<th>DAYS</th>
<th>STAFF</th>
<th>STUDENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-14</td>
<td>120€</td>
<td>55€</td>
</tr>
<tr>
<td>15-60</td>
<td>70€</td>
<td>-</td>
</tr>
<tr>
<td>15-90</td>
<td>-</td>
<td>40€</td>
</tr>
<tr>
<td>61 – 180</td>
<td>50€</td>
<td>n.a.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Distance Bands (one way)</th>
<th>Unit Cost (return trip)</th>
</tr>
</thead>
<tbody>
<tr>
<td>100-499 km</td>
<td>180€</td>
</tr>
<tr>
<td>500-1999 km</td>
<td>275€</td>
</tr>
<tr>
<td>2000-2999 km</td>
<td>360€</td>
</tr>
<tr>
<td>3000-3999 km</td>
<td>530€</td>
</tr>
<tr>
<td>4000-7999 km</td>
<td>820€</td>
</tr>
<tr>
<td>8000 km and more</td>
<td>1.100€</td>
</tr>
</tbody>
</table>

for eligible activities please refer Programme Guide
Part II: Partnership Agreement

- **Mandatory**
- **To be submitted to the Agency within 6 months of the signature of grant contract (Signed by the legal rep.)**
- **Joint** (one doc signed by all partners) or **Bilateral** (partner A + coordinating inst.)
- **Template** available to be adapted to specific needs of partnership
- **Comprehensive**: covering all aspects of the project:
  - The partners role and responsibilities;
  - Financial Management;
  - Project Management;
  - Project Quality Assurance;
  - Student issues
  - Decision/Conflict resolution mechanisms
Part III – Results of the last Call, Application and Selection procedure
### Part III: Overview on selection progress on target regions (including cross-regional)

Total applications = 515: 140 recommended for funding (27% from total received)

<table>
<thead>
<tr>
<th>Regions</th>
<th>Received applications</th>
<th>Selected Applications</th>
<th>Success Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Region 1 - Western Balkans</td>
<td>65</td>
<td>15</td>
<td>23%</td>
</tr>
<tr>
<td>Region 2 - Eastern Partnership Countries</td>
<td>169</td>
<td>23</td>
<td>14%</td>
</tr>
<tr>
<td>Region 3 - South Mediterranean Countries</td>
<td>143</td>
<td>40</td>
<td>28%</td>
</tr>
<tr>
<td>Region 4 - Russian Federation</td>
<td>57</td>
<td>13</td>
<td>23%</td>
</tr>
<tr>
<td>Region 6 - Asia</td>
<td>36</td>
<td>27</td>
<td>75%</td>
</tr>
<tr>
<td>Region 7 - Central Asia</td>
<td>80</td>
<td>23</td>
<td>29%</td>
</tr>
<tr>
<td>Region 8 - Latin America</td>
<td>64</td>
<td>19</td>
<td>30%</td>
</tr>
<tr>
<td>Region 9 - Iran, Iraq, Yemen</td>
<td>2</td>
<td>2</td>
<td>100%</td>
</tr>
<tr>
<td>Region 10 - South Africa</td>
<td>5</td>
<td>4</td>
<td>80%</td>
</tr>
</tbody>
</table>

Regional Data cannot be added since the same project can involve several regions.
Part III: Coverage of the regions (nr of applications, including the cross-regional projects)
## Part III: Application and Selection procedure

### Indicative roadmap for selection process

<table>
<thead>
<tr>
<th>Steps</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Publication Erasmus+ CBHE Call for Proposals</td>
<td>early October 2015</td>
</tr>
<tr>
<td>Deadline for submission of applications</td>
<td>10 February 2016</td>
</tr>
<tr>
<td>Verification of eligibility of project proposals</td>
<td>February – March 2016</td>
</tr>
<tr>
<td>Assessment of projects by experts (remotely)</td>
<td>mid-March – mid May 2016</td>
</tr>
<tr>
<td>Consultation procedure</td>
<td>mid-May – mid-June 2016</td>
</tr>
<tr>
<td>Evaluation Committee for selection of projects</td>
<td>early July 2016</td>
</tr>
<tr>
<td>Sending for signature of Award decision by Agency AO</td>
<td>mid-July 2016</td>
</tr>
<tr>
<td>Notification of applicants &amp; publication of results</td>
<td>end-July 2016</td>
</tr>
<tr>
<td>Preparation and signature of grant agreements</td>
<td>August-September 2016</td>
</tr>
<tr>
<td>Start of eligibility period</td>
<td>15 October 2016</td>
</tr>
</tbody>
</table>
Part III: Selection Process

- Project Proposal
  - EACEA
    - Eligibility check
    - Assessment by Independent experts
    - Ranking on QUALITY based on award criteria
    - Consultation: EU Delegations, PC authorities, NEOs
  - Evaluation Committee
    - EACEA, DGs, EEAS
      - Final ranking list
      - Grant Award Decision
Part III: Selection Process – Award decision

EACEA takes decision based on:

- ranking list on quality established by external experts
- the results from the consultation process
- the budget available for each region
- the need to achieve a geographical balance within a region
- sufficient coverage of the priorities

Evaluation Committee's recommendation, taking into account:
Local Support

Centrally managed (EACEA) but local support:

International E+ Contact Points (ICPs) in Programme Countries
https://eacea.ec.europa.eu/erasmus-plus/contacts/international-erasmus-plus-contact-points_en

National Erasmus+ Offices (NEOs) in certain Partner Countries

Other useful links:
Erasmus+ website - EACEA

Erasmus+ website – EU Commission
Erasmus+